



Automatic Investment Plan/ Electronic Bank Transfer Form

Return this Form to:

Bright Directions College
Savings Program
P.O. Box 82623
Lincoln, NE 68501

Overnight Mail:

Bright Directions College
Savings Program
1248 O Street, Suite 200
Lincoln, NE 68508

If you have questions, please call us at **866.722.7283**,
Monday–Friday, 7 a.m. to 7 p.m. (Central).

1. Current Account Information

Account Number: _____

Account Owner Legal Name (First, M.I., Last): _____

Daytime Phone Number: _____ Evening Phone Number: _____

Name of Beneficiary: _____

2. Action to Be Taken (Check One)

- ☐ **Start a New** Automatic Investment Plan (Complete Sections 3, 5 and 6)
- ☐ **Modify an Existing** Automatic Investment Plan (Complete Sections 3 and 6)
- ☐ **Add or Change Banking Information** on file for contributions and redemptions (Complete Sections 5 and 6)
- ☐ **One-time Electronic Funds Transfer** (Complete Sections 4, 5 and 6)
- ☐ **Discontinue** my Automatic Investment Plan (Complete Section 6)

3. Amount and Frequency of Transactions

Complete this Section if you checked "Start a New Automatic Investment Plan or Modify an Existing Automatic Investment Plan" in Section 2 above.

Automatic Investment Plan Amount: \$ _____

Frequency of Transactions (Check one):

- ☐ **Monthly (date)** _____
(If you do not provide a date, the transfer will occur on the 17th of each month.)
- ☐ **Twice a month (dates)** _____ and _____
(If you do not provide dates, the transfers will occur on the 11th and the 25th of each month.)
- ☐ **Quarterly (day of Month)** _____
 - ☐ January, April, July, October ☐ February, May, August, November ☐ March, June, September, December
- ☐ **Annually (MM/DD)** _____

4. One-Time Electronic Bank Transfer

Amount to be Transferred from your Bank Account \$ _____

- ☐ Invest pursuant to my current investment allocation on file
- ☐ Invest this one-time amount per the following direction (for this contribution only):

529 Portfolio	Amount
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____

5. Bank Information

Fill out the following to add or update bank instructions to your Bright Directions Account for an Automatic Investment Plan, Electronic Bank Transfer, subsequent contributions, or redemptions.

- ☐ Add bank information
- ☐ Add bank information – bank account owner is not the same as Account Owner (Medallion Signature REQUIRED in Section 6.)
- ☐ Replace current bank account ending _____ (provide last 4 digits of bank account number)

Account Type

- ☐ Checking ☐ Savings

Name on bank account: _____

If the bank account is a joint account, please list the 529 Account Owner.

- **Tape voided check here. Do not staple.**

This bank account will automatically be linked to your Bright Directions College Savings Program Account for telephone and website purchase and redemption/withdrawal transactions.

Your Name		1234
Pay to the order of _____	Date _____	
Sample _____	\$ _____	
Bank Name and Address _____		Dollars
Memo _____		
:123456789:	34568:	

TAPE YOUR PREPRINTED VOIDED CHECK OR SAVINGS ACCOUNT DEPOSIT SLIP HERE.

6. Authorization

By signing below, I certify that the information contained herein is true, complete, and correct.

By selecting the electronic transfer service in Sections 2, 3, 4, and/or 5, I (the contributor) herby authorize Union Bank and Trust Company to initiate debit and/ or credit entries to the bank account indicated above, and the bank indicated above to debit the same amount. As the Bright Directions College Savings Program Account Owner I acknowledge that the referenced bank account will be linked to my Bright Directions College Savings Program Account so that I may purchase or sell shares by telephone or online at BrightDirections.com. This authority is to remain in full force and effect until Union Bank and Trust Company has received notification from me of its modification or termination in such time as to afford Union Bank and Trust Company reasonable time to act on it. I understand that if a transaction cannot be made because of insufficient funds or because either account has been closed, this service will be cancelled by Union Bank and Trust Company. I acknowledge that the origination of Automated Clearing House ("ACH") transactions to my account must comply with the provisions of applicable law. I further agree that if my draft is dishonored for any reason, with or without cause, Union Bank and Trust Company will not bear any liability. Union Bank and Trust Company may correct any transaction errors with a debit or credit to my financial institution account and/or the Bright Directions College Savings Program Account. Please retain a copy of this authorization for your records.

I authorize Union Bank and Trust Company, its agents and affiliates, and the Trust to act on any instructions believed to be genuine and from me for any telephone, electronic and website services. Union Bank and Trust Company and the Trust use procedures designed to verify the authenticity of the Account Owner or Custodian. If these procedures are followed, Union Bank and Trust Company and the Trust will not be liable for any loss that may result from acting on unauthorized instructions. I understand that anyone who can properly identify my Account(s) can obtain information about my Account and can make telephone, electronic, or computer exchange and/or redemption, contribution or withdrawal transactions on my behalf.

Signature and Date Required

X

Signature of Account Owner, Custodian (UGMA/UTMA Accounts), or Trustee

Date

Print Name Here

Title (if other than an individual)

Bank Account Owner's Signature (if the contributor is different than the Bright Directions Account Owner). MEDALLION SIGNATURE GUARANTEE REQUIRED

I acknowledge that my above-referenced bank account will be linked to the Bright Directions College Savings Program Account referenced in Section 1.

X

Bank Account Owner's Signature (if the contributor is different than the Bright Directions Account Owner).

Date

Print Name Here

Medallion Signature Guarantee

A Medallion Signature Guarantee is required if the name on the bank account is not the same as the Bright Directions College Savings Program Account Owner.

MEDALLION SIGNATURE GUARANTEE

Signature must be stamped with a Medallion Signature Guarantee by a qualified financial institution, such as a commercial bank, savings and loan, U.S. stock broker and security dealer, or credit union, that is participating in an approved Medallion Signature Guarantee program.

(A NOTARY PUBLIC CANNOT PROVIDE A SIGNATURE GUARANTEE)

Note to Guarantor:
Medallion imprints must be fully legible and must not be dated or annotated.

Northern Trust
Securities, Inc.

DISTRIBUTOR



Michael W. Frerichs

ILLINOIS STATE TREASURER
Trustee and Administrator

UBT

Union Bank & Trust
Program Manager