



ADVERTISING REGULATION DEPARTMENT REVIEW LETTER

October 03, 2024

Mr. Tom Docherty
Northern Trust Securities, Inc.
50 S LaSalle St, B-12
Chicago IL 60603

Reference: **FX2024-0930-0067/E**

Org Id: 7927

1. IL First Steps Flyer - 9.2024
Rule: MSRB
2 Pages

Total Fee: \$600

Dear Mr. Docherty,

Please be advised that the review of this communication encompasses only the content of the two-page communication submitted, i.e., does not include any linked material via “quick response” (QR) code.

The communication submitted appears consistent with applicable standards.

If you have any questions about this review, please feel free to contact me at (240) 386-4500.

Reviewed by,

Susan M. Kole
Principal Analyst

smk



Please send any communications related to filing reviews to this Department through the Advertising Regulation Electronic Filing (AREF) system or by facsimile or hard copy mail service. We request that you do not send documents or other communications via email.

NOTE: *We assume that your filed communication doesn't omit or misstate any fact, nor does it offer an opinion without reasonable basis. While you may say that the communication was "reviewed by FINRA" or "FINRA reviewed," you may not say that we approved it.*