



## ADVERTISING REGULATION DEPARTMENT REVIEW LETTER

May 16, 2023

Mr. Tom Docherty  
Northern Trust Securities, Inc.  
50 S LaSalle St, B-12  
Chicago IL 60603

Reference: **FX2023-0515-0233/E**

Org Id: 7927

1. Bright Directions Rollover Postcard (#U758-075825 C1 FY22-23)  
Rule: MSRB  
2 Pages

Total Fee: \$600

Dear Mr. Docherty,

The communication submitted appears consistent with applicable standards.

Reviewed by,

Susan M. Kole  
Principal Analyst

jws

*Please send any communications related to filing reviews to this Department through the Advertising Regulation Electronic Filing (AREF) system or by facsimile or hard copy mail service. We request that you do not send documents or other communications via email.*

**NOTE:** *We assume that your filed communication doesn't omit or misstate any fact, nor does it offer an opinion without reasonable basis. While you may say that the communication was "reviewed by FINRA" or "FINRA reviewed," you may not say that we approved it.*