BrightDirections529
Advisor-Guided College Savings

Incoming Rollover Form

Complete this form with your Financial Advisor to Rollover another 529 Plan or Coverdell to Bright Directions.

Return this Form to:

Bright Directions College Savings Program
P.O. Box 82623
Lincoln, NE 68501

Overnight Mail:

Bright Directions College Savings Program
1248 O Street, Suite 200
Lincoln, NE 68508

If you have questions, please call us at **866.722.7283,** Monday–Friday, 7 a.m. to 6 p.m. (Central).

1. Type of Rollover/Transfer (Please check only one)

- ☐ **Another 529 College Savings Plan**
- ☐ **Bright Start College Savings Program***
- ☐ **Coverdell Education Savings Account (CESA)**
- ☐ **College Illinois!***

* The IRS considers a transfer from another 529 plan sponsored by the State of Illinois as an investment change (subject to the two investment change limitation per calendar year).

2. Bright Directions College Savings Program Account Information

Bright Directions Account Number*: _____

Account Owner Legal Name (First, M.I., Last): _____

Beneficiary Name (First, M.I., Last): _____

Last 4 digits of Beneficiary's Social Security Number or Taxpayer Identification Number: _____

* If you do not have an existing Bright Directions Account, you must complete a Bright Directions Enrollment Form.

3. Account to be Rolled Over

Account Number: _____

529 Plan Name (if CESA, leave blank): _____

Address to Send Rollover Request: _____

City, State, Zip: _____

Name of Current 529 Program Manager or CESA Custodian: _____

Phone Number of Current 529 Plan: _____

Current 529 or CESA Account Owner* *(must be the same as your Bright Directions Account):* _____

Current 529 or CESA Account Beneficiary (Check A or B)

- ☐ **A. Same Beneficiary** as Section 2. Beneficiary Name _____
- ☐ **B. Different Beneficiary** as Section 2. Beneficiary Name _____
  Relationship to Beneficiary* in Section 2 _____

  * Must be a Member of the Family of the Beneficiary identified in Section 2 above. See the Program Disclosure Statement for the definition of a Member of the Family.

4. Rollover Instructions to Current 529 Program Manager or CESA Custodian

☐ **Full Liquidation** (Roll over ALL assets in my current account to my Bright Directions College Savings Account.)

Estimated Value: $ _____

☐ **Partial Liquidation** _____ % of Account or as follows:

Fund Name	Account Number	Dollar Amount
		$ or ☐ ALL
		$ or ☐ ALL
		$ or ☐ ALL

5. Rollover Instructions for the Bright Directions College Savings Program

☐ I have previously paid a front-end sales charge (i.e., Class A shares) on the assets I am rolling over and/or transferring and direct they be invested in Fee Structure A at NAV in a Bright Directions College Savings Program Account. The waiver is only applicable to the assets being rolled over. Additional contributions will be assessed the applicable sales charge.

This initial sales charge waiver is only available if the Bright Directions Account referenced in Section 2 is Class A shares and is only available through certain broker-dealers. Check with your financial advisor to see if you are eligible before initiating a rollover.

6. Signature and Authorization

I hereby authorize and direct the Rollover indicated above and certify that I have read the Program Disclosure Statement and understand the rules and requirements governing rollovers from other 529 plans or Coverdell Education Savings Accounts.

IMPORTANT: I certify that this Rollover is for the same Beneficiary and that there have been no other rollovers between 529 plans for this Beneficiary in the previous 12 months OR that this Rollover is for a different Beneficiary and the Beneficiary on the Bright Directions College Savings Program Account is a Member of the Family (as defined in the Program Disclosure Statement) of the Beneficiary on the current 529 plan account I am rolling over.

I certify that all the information contained herein is true and correct.

I acknowledge that the Rollover of funds to the Bright Directions College Savings Program may have special tax consequences and that neither the Trustee, the Program Manager, nor the Pool will be responsible for the tax consequences of any such Rollover.

I acknowledge that the Rollover proceeds will be invested according to my current investment election on file with the Bright Directions College Savings Program for my Account at the time the assets are received.

By signing below, I authorize the Bright Directions College Savings Program to inquire into issues relating to the transfer of my account and for the current 529 program manager or Coverdell Education Savings Account custodian to provide information to the Bright Directions College Savings Program as they may request.

Signature and Date Required

X _____

Signature of Account Owner, Custodian (UGMA/UTMA Accounts), or Trustee — Date

_____

Print Name Here

_____

Title (if other than an individual)

Medallion Signature Guarantee

Contact your current 529 program manager or CESA custodian to determine if a Medallion Signature Guarantee is required. Many do require a Medallion Signature Guarantee. Without this, the rollover may be delayed. If a Medallion Signature Guarantee is required, please wait to sign until you are in the presence of the bank officer or official who will provide the Medallion Signature Guarantee. A notary public CANNOT provide a signature guarantee.

MEDALLION SIGNATURE GUARANTEE

Note to Guarantor:
Medallion imprints must be fully legible and must not be dated or annotated.

Signature must be stamped with a Medallion Signature Guarantee by a qualified financial institution, such as a commercial bank, savings and loan, U.S. stock broker and security dealer, or credit union, that is participating in an approved Medallion Signature Guarantee program.

(A NOTARY SEAL IS NOT ACCEPTABLE)